

**NOMINATION FOR QUALIFYING EXAMINATIONS  
FOR THE DEGREE OF DOCTOR OF PHILOSOPHY OR MUSICAL ARTS**

*NOTE: All students must also complete page 3, the Conflict of Interest form*

It is the opinion of the Department of \_\_\_\_\_ that

Student Name: \_\_\_\_\_ Perm: \_\_\_\_\_  
Last First Middle

is ready to proceed to the qualifying examinations for the degree of Doctor of:

Philosophy  Musical Arts

The department does not require a foreign language examination.

The department's requirement in foreign language(s) was met:

**Language(s)**

**Date Exam Passed**

\_\_\_\_\_  
\_\_\_\_\_  
MM DD YY

The proposed field of study is: \_\_\_\_\_

The tentative title of the dissertation is: \_\_\_\_\_

The following faculty members are recommended as a doctoral committee, the first to be Chairperson:

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

(May be outside the department)

(May be outside the department)

\_\_\_\_\_  
Type or Print Name of Dept. Grad Advisor Signed: \_\_\_\_\_ Department Graduate Advisor Date \_\_\_\_\_

\_\_\_\_\_  
Type or Print Name of Dept. Chair Signed: \_\_\_\_\_ Department Chairperson Date \_\_\_\_\_

Approved: \_\_\_\_\_  
Dean of the Graduate Division for the Graduate Council Date \_\_\_\_\_

**GRADUATE COUNCIL REGULATIONS REGARDING COMMITTEES:**

Master's committees = minimum of 3 UC ladder faculty, 2 (including Chair) must be in home department.

PhD committees = minimum of 3 UC ladder faculty, 2 (including Chair) must be in home department.

Additional members may be at departmental discretion.

## UCSB GRADUATE STUDENT CONFLICT OF INTEREST FORM

This form must be completed, signed and submitted with the Masters Form I or the Doctoral Degree Form I, as applicable. The complete COI policy and implementation procedures are described on the Graduate Division website (<http://www.graddiv.ucsb.edu>). The Policy on Conflict of Interest and Graduate Education [http://www.graddiv.ucsb.edu/academic/handbook/handbook.pdf#append\\_coi](http://www.graddiv.ucsb.edu/academic/handbook/handbook.pdf#append_coi) should be reviewed for guidance before filling out this form. If a conflict of interest related to this policy is identified, the procedures outlined in the Policy on Conflict of Interest and Graduate Education must be followed.

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**If you have any questions, please call the Graduate Division  
Academic Services unit: 805-893-4654**

The UCSB Policy on Conflict of Interest and Graduate Education deals with any conflict of interest as defined in Section 028 of the Academic Personnel Manual (APM), adopted April 26, 1984 (<http://www.ucop.edu/acadadv/acadpers/apm/welcome.html>). The policy is mandated by Section 025 of the Academic Personnel Manual. This policy affirms joint student and faculty responsibilities as members of the University of California in relationship to potential conflicts of interest and provides mechanisms to ensure that outside activities are consistent with University policy.

### **Disclosures**

The following agreements or arrangements should be disclosed to the Dean of the Graduate Division as soon as the student becomes aware of the facts giving rise to the disclosure obligation:

i) Agreements or arrangements between a student and a private entity involving research activities by the student, where the University or a mentor/research/thesis/dissertation advisor is a party to the agreement or arrangement, and the student's mentor/research/thesis/dissertation advisor has a financial interest in the private entity:

*And one or more of the following is true:*

- a) The research activities are related to the student's thesis/dissertation, or
- b) There are restrictions on the student's ability to publish, present, or otherwise disclose the findings from their research activities.

# UCSB GRADUATE STUDENT CONFLICT OF INTEREST FORM

Student Name \_\_\_\_\_ Perm \_\_\_\_\_  
Last First Middle

Department \_\_\_\_\_

Degree Objective:     MA    MS    MED    MESM     MM    MFA    PHD    DMA    EDD

**Questionnaire**

(1) Does a faculty member have a financial interest (including employment or a consulting arrangement) in a private entity with which the graduate student is involved?     Yes    No

(2) If yes to (#1) above, could this financial interest and student association be harmful to the student's academic interests?     Yes    No

**→ If you responded "yes" to either of the above questions, you have identified a potential conflict of interest that needs to be disclosed on this form. Please provide a description of the conflict below. The procedures in the Policy on Conflict of Interest and Graduate Education should be followed. Reference ([http://www.graddiv.ucsb.edu/academic/handbook/handbook.pdf#append\\_coi](http://www.graddiv.ucsb.edu/academic/handbook/handbook.pdf#append_coi)).**

*Description of Potential Conflict (please respond to the following):*

(1) Please state the name of the faculty member with a financial interest and describe the nature of the potential conflict. (2) Describe how the student's academic interests could be harmed. *For example, is the student's ability to publish, present, or otherwise disclose the findings from their research hindered due to the private entity's sponsorship of the project or other requirements imposed by the sponsor?* (3) How does the Department propose to manage the conflict?

By signing this form, we certify that we have read the policy and have provided a complete disclosure of any financial conflict of interest that may be harmful to the academic interests of the graduate student.

\_\_\_\_\_  
Type or print name                      Signed:    Student                      \_\_\_\_\_  
Date

\_\_\_\_\_  
Type or print name                      Signed:    Chair, Thesis/Dissertation Committee                      \_\_\_\_\_  
Date

\_\_\_\_\_  
Type or print name                      Signed:    Chair, Major Department                      \_\_\_\_\_  
Date

Received by Graduate Division		Reviewed and Approved (if conflict disclosed):
_____ Initials	_____ Date	

\_\_\_\_\_  
Dean, Graduate Division                      \_\_\_\_\_  
Date